

Reference	Risk Title	Likelihood	Impact	Likelihood reduction	LR Target Date	Impact Mitigation	IM Target Date	Residual Likelihood	Residual Impact	Progress Update	Risk Owner
1 - Financial Resources	Insufficient financial resources to provide statutory services	A	4	Medium Term Financial Plan Annual savings plan Transforming East Herts Reductions in non-essential capital expenditure	28/02/2024 28/02/2024 31/03/2025 28/02/2024	s.114 Report leading to appointment of Commissioners LGA Peer Review Requesting CIPFA support Requesting Government support		B	4		Steven Linnett
2 - Climate Change	Lack of mitigation of and adaptation to climate changes adversely impacts of service delivery	B	4	Declaration of Climate Emergency by Council Reducing carbon emissions from council operations - Climate Change Action Plan Seeking to influence residents to reduce carbon footprint for the district	Complete 31/03/2030 31/03/2030	Adaption Plan Business Continuity Plan Severe Weather section Emergency Plan including specific response plans to flooding etc. Health and Safety Policy details severe weather response		B	3		Jonathan Geall
3 - District Plan	District Plan not in place leading to a developer led system relying only on the National Planning Policy Framework.	A	3	Timetable for Plan Review agreed at Executive Budget for evidence studies in place		Evidence based decision making in line with National Planning Policy Framework		C	2		Sara Saunders
4 - Key Contractor	A key major contractor of the council fails meaning that services stop altogether e.g the refuse contractor fails and streets are not swept and bins are not emptied	C	4	Monitoring of major contractors for risks of business failure Parent Company Guarantee/Performance Bond Credit risk scores are obtained for major contractors during the operation of the contract and particular attention is paid to trade news concerning contractors' financial health. Offer of open book accounting to examine any potential cost increases as a result of changing circumstances.		Local Authority Trading Company ready to activate to take over service provision Parent Company Guarantee/Performance Bond mitigates costs of compant failure		C	3		Nick Phipps
5 - Governance	There is a governance failure caused by a lack of policies, procedures and internal controls leading to loss of legal cases on process and/or loss of assets	B	4	Policy register and review date monitoring SIAS annual assurance mapping Annual Governance Statement All Executive, Committee and Council reports require sign off by legal and finance to ensure compliance with budget and policy framework and current legislation. List of policies maintained with review dates		LGA Peer Review Assistance from LGA Assistance from Government Monitoring Officer and s.151 Officer personal duty to report in the public interest under s.114 LGFA 1988 Monitoring Officer and s.151 Officer have reserve powers to direct the council to provide sufficient resources to carry out their duties Constitution limits most powers		D	2		James Ellis

6 - Cyber Attack	Cyber attack renders the council's IT systems unusable for a prolonged period with resultant difficulties in collecting revenues; calculating and paying benefits; paying staff and suppliers and lack of other information which would impact regulatory and enforcement functions.	A	4	Network firewall and security systems tested and monitored Laptop/mobile device security Cyber Treatment Plan for at risk systems Cloud first strategy	Network firewall and security systems tested and monitored Laptop/mobile device security Cyber Treatment Plan for at risk systems Cloud first strategy Business Continuity Plan	B	4	Helen Standen
7 - Major Data Loss	A major data breach of sensitive personal data occurs causing reputational damage and the Information Commissioner to fine the Council	A	3	Mandatory staff training Laptop/mobile device security Confidential waste shredded	Mandatory staff training Data Protection and Privacy Statements Culture of reporting all breaches and learning from each breach	C	2	James Ellis
8 - Staff and skills	The lack of the right staff to deliver services leads to service backlogs and failures	B	3	Staff / manager development including the on-line learning pool Growing our own to use the benefits of the apprenticeship levy. Management training and development through Blueprint and Next Steps Programmes Succession planning for key staff within Services has begun	Flexible retirement used to maintain corporate memory.	C	2	Elaine Starling